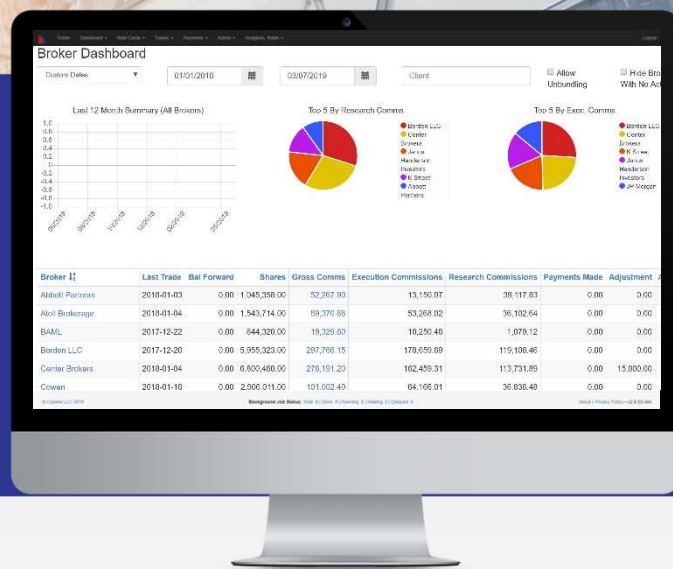




PRODUCT PROFILE

Trade Center

Cross-Asset Trade Reporting +
Unbundling, Reconciliation, Rate Management



Trade Center is a powerful trade reporting system that handles all aspects of detailed and summary trade reporting across all asset classes. It includes optional modules for commission unbundling, reconciliation, and tracking negotiated rates with one's trading counterparties.

Rather than digging into vast spreadsheets to research trade inquiries, *Trade Center* empowers users to search all trade history across all brokers to quickly pinpoint the details of each trade to answer critical questions from clients, management, and regulators.

Custom report generation is a hallmark of *Trade Center*. Produce reports that are highly customizable with easy filtering, sorting, and charting of all trade information, along with complete export and PDF generation where needed.

Trade Data Sourcing / Trade Unbundling

Trade Center can be linked to an unlimited number of sources, including OMS and clearing files, spreadsheets, in-house systems, etc. Trade, commission, fee, and other information can be collected on equity, fixed income, contracts, currencies, derivatives, or any instrument that you trade in. Complete summation across asset classes or focused reports just for one class are allowed within *Trade Center*. TCA and other types of analytics can be loaded into the system for side-by-side reporting.

Trade Center is the perfect tool to efficiently unbundle execution and research commissions. Our powerful commission split engine handles every imaginable unbundling metric, from simple percentages or basis point splits to variations based on client, sub-account, volume, trade size, trade type, and even by trader. Even reverse splits. Trades can be manually changed to reflect one-off requirements and all changes can be accompanied by audit notes to explain the reason for the one-off.



Reconciliation

Rather than depending on the brokers' reporting of CSA balances, *Trade Center* gives you the tools necessary to ensure that every valuable research commission dollar is properly reported and available. Discrepancies can be identified by day, by month, by sub-account, etc., speeding up the process to get the brokers back in line.



Rate Card Management

Trade Center's Rate Card Pilot Module provides management, traders, compliance, and operations an essential view into the execution pricing for every permutation of trading regardless of market or asset class. Each broker's proposed rates can be viewed along with all agreed to rates and all negotiation notes. Don't be beholden to your broker's for rate information – track it all with *Trade Center's Rate Card Pilot*.

Special Benefits

Trade Center provides unique strengths around trade reporting and trade activities:

- Import trades from all order management systems, reducing time and redundancy.
- Reconcile broker trades and research commission balances with highly accurate and supportable numbers vs. purely relying on the numbers from the broker's perspective.
- Customer service is greatly improved with the ability to quickly search and report on trading activity.
- Internal reporting is expanded, with the ability to track not only CSA balances but also identify trends across brokers, clients, markets, etc.
- Can be linked to Castine's broker voting/valuation, compliance, and other modules.



Better Information. Better Reporting. Better Control

About Castine

Since 1982, Castine LLC has provided Cloud-based and in-house solutions to the financial industry. Our C3 platform addresses Commission management, Compliance, and Compensation and are in use by brokers, IRPs, asset managers, hedge funds, and investors worldwide.

The Castine C3 platform of integrated modules lets clients focus on specific areas where gains can be quickly realized with the confidence that the suite can grow as their needs change.

Modules include CSA, RPA, and P&L-based commission management for the buy- and sell-side, including research consumption, pricing, and reviews; compliance calendar, due diligence and RFPs, and reporting and approvals from employees and trading; trader and adviser compensation, performance reporting, trend analysis, and profitability.

Offices in New Jersey, London, and Buenos Aires.